

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty Dkt. 925-203

SUGA

Serial No. 09/898,082

C# M#

Group Art Unit: 2815

Examiner: Nguyen, Joseph H.

Filed: July 5, 2001

Date: April 21, 2003

Title: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE DEVICE

Assistant Commissioner for Patents

Washington, DC 20231

2815

RECEIVED
APR 24 2003
TECHNOLOGY CENTER 2800

Sir:

RESPONSE/AMENDMENT/LETTER

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

Correspondence Address Indication Form Attached.

Fees are attached as calculated below:

Total effective claims after amendment 0 minus highest number
previously paid for 20 (at least 20) = 0 x \$ 18.00 \$ 0.00

Independent claims after amendment 0 minus highest number
previously paid for 3 (at least 3) = 0 x \$ 84.00 \$ 0.00

If proper multiple dependent claims now added for first time, add \$280.00 (ignore improper) \$ 0.00

Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$410.00/2 months; \$930.00/3 months) \$ 0.00

Terminal disclaimer enclosed, add \$ 110.00 \$ 0.00

First/second submission after Final Rejection pursuant to 37 CFR 1.129(a) (\$750.00)
 Please enter the previously unentered , filed
 Submission attached

Subtotal \$ 0.00

If "small entity," then enter half (1/2) of subtotal and subtract
 Applicant claims "small entity" status. Statement filed herewith -\$ 0.00

Rule 56 Information Disclosure Statement Filing Fee (\$180.00) \$ 180.00

Assignment Recording Fee (\$40.00) \$ 40.00

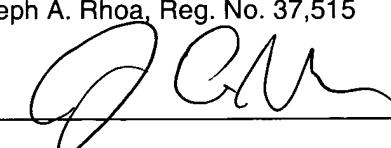
Other: \$ 0.00

TOTAL FEE ENCLOSED \$ 220.00

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.
 By Atty: Joseph A. Rhoa, Reg. No. 37,515

Signature: 



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#16 / DS
9-4-03
Reto

In re Patent Application of

SUGA

Atty. Ref.: 925-203

Serial No. 09/898,082

Group: 2815

Filed: July 5, 2001

Examiner: J. NGUYEN

For: SEMICONDUCTOR DEVICE AND METHOD FOR
FABRICATING THE DEVICE

* * * * *

April 21, 2003

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

- 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**
[within 3 months of filing or prior to 1st Office Action] N/C
- 2. **Statement Pursuant to 37 CFR 1.97(c)**
[before Final Office Action and Allowance] N/C
- 3. **Fee Payment Pursuant to 37 CFR 1.97(c)**
[before Final Office Action and Allowance] \$180.00
- 4. **Pursuant to 37 CFR 1.97(d)**
[before Issue Fee payment] \$180.00

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

- 5. A list of documents on Form PTO-1449. Actual copies of the references listed on the attached form PTO-1449 are not included herewith in accordance with MPEP §609, these references being previously submitted in any and all of the parent applications. The parent application includes U.S. application Serial No.

This paper is submitted in accordance with:

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- 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7. 37 CFR 1.97(c): [before Final Office Action and Allowance, whichever is earlier]; and
 - a) The required Statement made in item 9 below; or
 - b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- 8. 37 CFR §1.97(d): [before issue fee payment]; and
 - a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and
 - b) The required Statement is stated in item 9 below.
- 9. Statement under 37 CFR 1.97(e)
 - a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
 - b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- 10. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

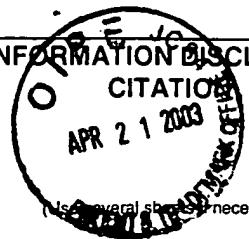
NIXON & VANDERHYE P.C.

By:


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Reg. No. 37,515

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~~INFORMATION DISCLOSURE~~

ALL INFORMATION

SERIAL NO.

925-203

09/898,082

APPLICANT

SUGA

FILING DATE

GROUP

July 5, 2001

2815

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

TRANSLATION

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include only one initial per reference.

Form BTO-FB-A820 (Also BTO-1449)